



Investment Management: Law and Practice

Timothy Spangler

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Investment Management: Law and Practice provides an in-depth guide to the processes and legal and regulatory issues relating to investment management. Edited by Timothy Spangler, a New York qualified lawyer and English solicitor, this work provides a comparative consideration of UK and US practice.

The work adopts a three part structure. The first part examines the legal principles underlying investment management and the regulatory position in both the UK and the US. It provides lawyers with guidance on the tort aspects of practice in this area, looking at the common law relating to standard of care, contractual duty and fiduciary duty. The second part includes a detailed consideration of those aspects of particular relevance to investment management law, such as derivatives, soft commission and market abuse. The third part considers pertinent issues relevant to each client sector to provide a practical research tool for advising different categories of client.

This work will offer valuable information on the regulatory changes and market activity in this dynamic and increasingly specialist practice area.



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